

**UNIFORM STATUTORY DECLARATION FORM FOR THE REGISTRATION OF FITTING DESIGNS**

NEW BRUNSWICK  
NUNAVUT

NOVA SCOTIA  
YUKON

PRINCE EDWARD ISLAND  
NORTHWEST TERRITORIES

NEWFOUNDLAND AND LABRADOR

MANUFACTURERS NAME: <u>Swagelok Company</u>	
MANUFACTURERS ADDRESS: <u>29500 Solon Road, Solon, Ohio 44139 USA</u>	
PLANT LOCATIONS: <u>Headquarters: 29500 Solon Road, Solon, Ohio 44139 USA (See Attachment A)</u>	
<b>CATEGORY OF FITTINGS TO BE REGISTERED. CIRCLE ONE CATEGORY ONLY</b>	
A Pipe fittings, including couplings, tees, elbows, Ys, plugs, unions, pipe caps, or reducers B Flanges: all flanges C Valves: all line valves D Expansion joints, flexible connections, and hose assemblies: all types E Strainers, filters, separators, and steam traps F Measuring devices, including pressure gauges, level gauges, sight glasses, levels, or pressure transmitters G Certified capacity-rated pressure relief devices acceptable as primary over pressure protection on boilers, pressure vessels, piping and flexible plugs H Pressure retaining components that do not fall into one of the above categories N Nuclear components: Class 1 <input type="checkbox"/> Class 2 <input type="checkbox"/> Class 3 <input type="checkbox"/> . (Meeting AECB or ASME requirements)	TYPE OF THE BRAND/TYPE OF CONSTRUCTION  ASME B31.1 for unlisted components, ASME B31.3 for unlisted components
SHOW MANUFACTURERS NAME, TRADEMARK, OR LOGO AS IT WILL APPEAR ON THE PRODUCT	
Swagelok	TYPE OF CONSTRUCTION FORGED <input type="checkbox"/> WELDED <input type="checkbox"/> WROUGHT <input checked="" type="checkbox"/> CAST <input type="checkbox"/> OTHER <input type="checkbox"/> DESCRIBE OTHER:
LIST OF SUPPORTING DOCUMENTATION AND IDENTIFICATION OF THE ACTUAL ITEMS TO BE REGISTERED: <u>ISO 9001:2015 Certificate, Attachment A, Attachment B, Catalog Information and other Support Documents</u>	

**DECLARATION:**

I, Joel Feldman (see note 3) employed by Swagelok Company and being the person having full authority and responsibility for the quality of the end product do solemnly declare that the information contained in this form is true and to the best of my knowledge represents the product for which registration is sought. The dimensions, materials of construction, pressure temperature ratings, and identification markings are in accordance with the herein named standards. I further declare that the manufacture of these fittings is regulated by a Quality Control Program which extends to each plant where fabrication occurs in whole or in part and has been verified by BSI as being suitable for that purpose and I make this solemn declaration conscientiously believing it to be true, and knowing that it is of the same force and effect as if made under oath.

Signature of Declarer: [Signature]

Declared before me at Solon, Ohio

This 07th day of December AD 2018

Commissioner of Oaths  
or Notary Public: (sign) [Signature]

USE THIS SPACE FOR THE  
OFFICIAL SEAL  
 Jamie L. Ristau  
 Resident Notary Public  
 Notary Public, State of Ohio  
 My Commission Expires: 02/08/2019

This space for Regulatory Authority use  
 This registration must be revalidated after ten (10) years from the date of acceptance.

CRN: 0C20984.5  
 FID#: 1214

Notes:  
 1. All fittings shall be registered in the name of the Manufacturer.  
 2. Each category shall be supported with two Statutory Declaration forms and one copy of supporting documentation.  
 3. The declaration shall be made by the person having full authority and responsibility for the quality of the end product.  
 4. Quality control programs shall be resubmitted for validation at a maximum interval of five (5) years.



## Attachment B: Scope for Swagelok 4P, 5P Rising Plug Valves (Category C)

### Product Scope

This document represents the scope of the 4P, 5P Rising Plug Valves covered by this submission for CRN approval. The Swagelok 4P, 5P Rising Plug Valves were designed and evaluated in accordance with ASME B31.1-2016 for unlisted components and ASME B31.3-2016 for unlisted components.

### Summary Table

Product Description or Series	Main Pressure Bearing Component	Main Pressure Bearing Material	Port Connections and Sizes	Maximum Rated Pressure		Design Code of Construction
				@100°F	At Max. Temp.	
4P	Body	316 SS (ASTM A479)	Female NPT 1/4" Male NPT 1/4", 1/2"	6000 psig	1000 psig @ 400°F	ASME B31.1 (Unlisted Components) and ASME B31.3 (Unlisted Components)
5P	Body	316 SS (ASTM A479)	Female NPT 1/2" Male NPT 1/2", 3/4"	6000 psig	1000 psig @ 400°F	ASME B31.3 (Unlisted Components)

### Product Illustration



THIS IS PART OF  
 CRN 0C20984.5  
 Technical Standards & Safety Authority  
 Entries & Pressure Vessels  
 Safety Program

## Typical Product Characteristics:

Some of the product options listed below affect the pressure-temperature ratings shown in the Summary Table, but in all cases the ratings are less than those shown in the Summary Table. All of the following options are within the scope of this registration:

### Valve Seat Material

Acetal - standard  
PFA  
PEEK

### Stem Seal Material

Fluoroelastomer (FKM) - standard  
Buna C  
Buna N  
Ethylene propylene  
Perfluoroelastomer (FFKM, also known under the trademarked name Kalrez®)  
Silicone

## Quality System

The Swagelok Company quality system complies with the requirements of BS EN ISO 9001:2000. The Swagelok Company maintains British Standards Institution Certificate of Registration Number FM 01729, which applies to all locations listed on the Certificate. The 4P, 5P Rising Plug Valves are manufactured at a Swagelok Company location in Solon, Ohio.

## References

The product catalog does not represent the full scope of registration, but rather details some of the most common options.

- 4P, 5P Rising Plug Valve Catalog MS-01-49, R13



## Attachment A. Swagelok Manufacturing Locations

This document lists the Swagelok locations where end item or component level manufacturing activities take place.

Swagelok Company 29500 Solon Road Solon, Ohio 44139 USA	Swagelok Company (Falon 1) 348 Bishop Road Highland Heights, Ohio 44143 USA
Swagelok Company (Highland) 318 Bishop Road Highland Heights, Ohio 44143 USA	Swagelok Company (Falon 2) 358 Bishop Road Highland Heights, Ohio 44143 USA
Swagelok Company (OFC) 29495 F.A. Lennon Drive Solon, Ohio 44139 USA	Swagelok Company (HPF) 6050 Cochran Road Solon, Ohio 44139 USA
Swagelok Company (Atlantic) 26651 Curtiss Wright Parkway Willoughby Hills, Ohio 44092 USA	Swagelok Company (Snow Metal) 6060 Cochran Road Solon, Ohio 44139 USA
Swagelok Company (Micro) 26653 Curtiss Wright Parkway Willoughby Hills, Ohio 44092 USA	Swagelok Company (Alfred) 29500 Ambina Drive Solon, Ohio 44139
Swagelok Hose Services Company (SHSC) 29900 Solon Industrial Parkway Solon, Ohio 44139	Swagelok Company (Strongsville) 15400 Foltz Road Strongsville, Ohio 44119
Swagelok (China) Fluid System Technologies Ltd. Changshu Export Process Zone Changshu Economic Development Zone Changshu, Jiangshu 215513 China	Swagelok Limited Ballafletcher Road Tromode IM4 4RA Isle of Man

**THIS IS PART OF**  
**GRN 0C20984.J**  
Technical Standards & Safety Authority  
Pressure & Pressure Vessels  
Reliability Program